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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	4002-2665/PC614.00
First Inventor	John Stewart Young
Title	DEVICES AND METHODS FOR SPINAL COMPRESSION AND DISTRACTION
Express Mail Label No.	EL 917 000 596 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 2023111046 U.S. PTO  
10/092961  
03/04/02

1. <input checked="" type="checkbox"/>	*Fee Transmittal Form (e.g., PTO/SB/17) (submit an original and a duplicate for fee processing)	7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/>	Applicant claims small entity status.	8. <input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/>	Specification (preferred arrangement set forth below)	a. <input type="checkbox"/>	Computer Readable Form (CRF)
	- Descriptive title of the Invention	b. <input type="checkbox"/>	Specification Sequence Listing on:
	- Cross References to Related Applications		CD-ROM or CD-R (2 copies); or
	- Statement Regarding Fed sponsored R & D		paper
	- Reference sequence listing, a table, or a computer program listing appendix or computer program listing appendix	c. <input type="checkbox"/>	Statements verifying identity of above copies
	- Background of the Invention		
	- Brief Summary of the Invention		
	- Brief Description of the Drawings (if filed)		
	- Detailed Description		
	- Claim(s)		
	- Abstract of the Disclosure		
4. <input checked="" type="checkbox"/>	Drawing(s) (35 U.S.C. 113) [Total Sheets 8]	10. <input type="checkbox"/>	37 C.F.R. § 3.73(b) Statement (when there is an assignee)
	<input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal	11. <input type="checkbox"/>	English Translation Document (if applicable)
5. Oath or Declaration	[Total Pages 33]	12. <input checked="" type="checkbox"/>	Information Disclosure Citation (IDS)/PTO-1449
		13. <input type="checkbox"/>	Copies of IDS 9 cited patents
	a. <input checked="" type="checkbox"/> Newly executed (original or copy)	14. <input checked="" type="checkbox"/>	Preliminary Amendment
	b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed)	15. <input type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	16. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
6. <input type="checkbox"/>	Application Data Sheet. See 37 CFR 1.76	17. <input type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:	Other: _____		
<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No: _____
Prior application information: Examiner: _____		Group / Art Unit: _____	
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			

## 19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

(Insert Customer No. or Attach barcode label here)

or  Correspondence address below

Name	Woodard, Emhardt, Naughton, Moriarty and McNett				
Address	Bank One Center/Tower 111 Monument Circle, Suite 3700				
City	Indianapolis	State	IN	Zip Code	46204-5137
Country	USA	Telephone	(317) 634-3456	Fax	(317) 637-7561
Name (Print/Type)	Douglas A. Collier			Registration No. (Attorney/Agent)	43,556
Signature	<i>Douglas A. Collier</i>			Date	March 4, 2002

Express Mail Label Number EL 917 000 596 US

Date of Deposit March 4, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington DC 20231.

*Patricia E. Collier*  
Signature of person mailing paper or fee

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# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	John Stewart Young
Group Art Unit	
Examiner Name	

Total Amount of Payment

(\$1260.00)

Attorney Docket Number

4002-2665/PC614;00

## METHOD OF PAYMENT

## FEE CALCULATION (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

23-3030

Woodard, Emhardt, Naughton, Moriarty & McNett

Charge any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27.

3. ADDITIONAL FEES					
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	
127	50	227	25	Surcharge – late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other Fee (specify) .....					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$ 40)					

\*or number previously paid, if greater. For Reissues, see above

SUBMITTED BY					
Name (Print/Type)		Signature		Complete (if applicable)	
Douglas A. Collier		Douglas A. Collier		Registration No. (Attorney/Agent)	43,556
				Telephone	(317) 634-3456
				Date	March 4, 2002

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